

# SPHERE THEOREM FOR MANIFOLDS WITH POSITIVE CURVATURE

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ABSTRACT. In this paper, we prove that, for any integer  $n \geq 2$ , there exists an  $\epsilon_n \geq 0$  so that if  $M$  is an  $n$ -dimensional complete manifold with sectional curvature  $K_M \geq 1$  and if  $M$  has conjugate radius bigger than  $\frac{\pi}{2}$  and contains a geodesic loop of length  $2(\pi - \epsilon_n)$ , then  $M$  is diffeomorphic to the Euclidian unit sphere  $S^n$ .

## 1. INTRODUCTION

One of the fundamental problems in Riemannian geometry is to determine the relation between the topology and the geometry of a Riemannian manifold. In this way the Toponogov's theorem and the critical point theory play an important rule. Let  $M$  be a complete Riemannian manifold and fix a point  $p$  in  $M$  and define  $d_p(x) = d(p, x)$ . A point  $q \neq p$  is called a critical point of  $d_p$  or simply of the point  $p$  if, for any nonzero vector  $v \in T_q M$ , there exists a minimal geodesic  $\gamma$  joining  $q$  to  $p$  such that the angle  $(v, \gamma'(0)) \leq \frac{\pi}{2}$ . Suppose  $M$  is an  $n$ -dimensional complete Riemannian manifold with sectional curvature  $K_M \geq 1$ . By Myers' theorem the diameter of  $M$  is bounded from above by  $\pi$ . In [Ch] Cheng showed that the maximal value  $\pi$  is attained if and only if  $M$  is isometric to the standard sphere. It was proved by Grove and Shiohama [GS] that if  $K_M \geq 1$  and the diameter of  $M$   $diam(M) > \frac{\pi}{2}$  then  $M$  is homeomorphic to a sphere.

Hence the problem of removing homeomorphism to diffeomorphism or finding conditions to guarantee the diffeomorphism is of particular interest. In [Xi3] C. Xia showed that if  $K_M \geq 1$  and the conjugate radius of  $M$   $\rho(M) > \pi/2$  and if  $M$  contains a geodesic loop of length  $2\pi$  then  $M$  is isometric to  $S^n(1)$ .

**1.1. Definition.** *Let  $M$  be an  $n$ -dimensional Riemannian manifold and  $p$  be a point in  $M$ . Let  $Conj(p)$  denote the set of first conjugate points to  $p$  on all geodesics issuing from  $p$ . The conjugate radius  $\rho(p)$  of  $M$*

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at  $p$  is defined as

$$\rho(p) = d(p, \text{Conj}(p)) \quad \text{if } \text{Conj}(p) \neq \emptyset$$

and

$$\rho(p) = +\infty \quad \text{if } \text{Conj}(p) = \emptyset$$

Then the conjugate radius of  $M$  is:

$$\rho(M) = \inf_{x \in M} \rho(x).$$

Many interesting results have been proved by using the critical points theory and Toponogov's theorem [C], [GS], [Pe], [S], [Sh], [SS], [Xi1], [Xi2], [Xi3]. In otherwise J. Cheeger and T. Colding in [CC] have proven the following

**Theorem A.** *There exists a number,  $\epsilon(n) > 0$ , depending only on the integer  $n$  such that, for any two Riemannian manifolds  $Z_1, Z_2$ , if  $d_{GH}(Z_1, Z_2) < \epsilon(n)$ , then  $Z_1$  and  $Z_2$  are diffeomorphic. where  $d_{GH}(Z_1, Z_2)$  denote the Gromov-Hausdroff distance.*

The purpose of this paper is to prove the following :

**1.2. Theorem.** *For any  $n \geq 2$  there exists a positif constant  $\epsilon(n)$  depending only on  $n$  such that for any  $\epsilon \leq \epsilon(n)$ , if  $M$  is an  $n$ -dimensional complete connected Riemannian manifold with sectional curvature  $K_M \geq 1$  and conjugate radius  $\rho(M) > \frac{\pi}{2}$  and if  $M$  contains a geodesic loop of length  $2(\pi - \epsilon)$  then  $M$  is diffeomorphic to an  $n$ -dimensional unit sphere  $S^n(1)$ .*

## 2. PROOF

Since  $K_M \geq 1$ ,  $M$  is compact. Let  $i(M)$  denote the injectivity radius of  $M$ .

By definition we have

$$i(M) = \inf_{x \in M} d(x, C(x)).$$

Since  $M$  is compact and the function  $x \mapsto d(x, C(x))$  is continuous, there exists  $p \in M$  such that  $i(M) = d(p, C(p))$ . Since  $C(p)$  is compact there exists  $q \in C(p)$  such that  $i(M) = d(p, q) = d(p, C(p))$ . Then

a) either there exists a minimal geodesic  $\sigma$  joining  $p$  to  $q$  such that  $q$  is a conjugate point of  $p$  or

b) there exists two minimal geodesics  $\sigma_1$  and  $\sigma_2$  joining  $p$  to  $q$  such that  $\sigma'_1(l) = -\sigma'_2(l)$ ,  $l = d(p, q)$ . See [C].

**2.1. Lemma.** *Let  $M$  be an  $n$ -dimensional complete, connected Riemannian manifold with sectional curvature  $K_M \geq 1$  and the conjugate radius  $\rho(M) > \frac{\pi}{2}$ , then  $i(M) > \frac{\pi}{2}$ .*

Proof of the lemma

If  $a$ ) holds, then  $i(M) = d(p, q) > \pi/2$ .

Suppose  $b$ ) holds. Since  $q \in C(p)$ , we have  $p \in C(q)$  and consequently  $d(p, q) = d(q, C(q))$ . This implies that  $\sigma'_1(0) = -\sigma'_2(0)$ . Set  $D(x) = \max_{y \in M} d(x, y)$ . Then

$$D(x) \geq \max_{y \in C(x)} d(x, y) \geq \rho(M) > \pi/2.$$

Since  $M$  is compact, there exist a point  $y \in M$  such that  $D(x) = d(x, y) > \pi/2$  and  $y$  is the unique farthest point and the critical one for the distance  $d(x, \cdot)$ . Set  $A(x) = y$ ; thus we define a continuous map  $A : M \mapsto M$  (see [Xi3]). By the Berger-Klingenberg theorem,  $M$  is homeomorphic to the unit sphere  $S^n(1)$  and since  $A(x) \neq x$  for all  $x \in M$  the Brouwer fixed point theorem sets that the degree of  $A$  is  $(-1)^{n+1}$  and consequently  $A$  is surjective. Let  $r \in M$  the point so that  $p = A(r)$ . Hence  $d(p, r) > \pi/2$ . If  $r = q$  then  $i(M) = d(p, q) > \pi/2$  otherwise there exists a minimal geodesic from  $q$  to  $r$ ,  $\sigma_3$  such that

$$\angle(\sigma'_3(0), -\sigma'_1(l)) \leq \pi/2 \quad \text{or} \quad \angle(\sigma'_3(0), -\sigma'_2(l)) \leq \pi/2.$$

Suppose  $\angle(\sigma'_3(0), -\sigma'_1(l)) \leq \pi/2$ . Applying the Toponogov's theorem [T] to the hinge  $(\sigma_1, \sigma_3)$ , we have:

$$\begin{aligned} \cos d(p, r) &\geq \cos d(p, q) \cos d(q, r) + \sin d(p, q) \sin d(q, r) \cos \angle(\sigma'_3(0), -\sigma'_1(l)) \\ (1) \quad &\geq \cos d(p, q) \cos d(q, r). \end{aligned}$$

Since  $r$  is far from  $p$  in the sense that  $d(p, r) > \pi/2$  then  $r$  is near to  $q$  i.e  $d(q, r) < \pi/2$  and from (1) we have

$$\cos d(p, q) < 0$$

and consequently

$$i(M) = d(p, q) > \pi/2$$

which proves the lemma.

**2.2. Lemma.** *Let  $M$  be a complete connected  $n$ -dimensional Riemannian manifold with sectional curvature  $K_M \geq 1$  and conjugate radius  $\rho(M) > \frac{\pi}{2}$ . If  $M$  contains a geodesic loop of length at least  $2(\pi - \epsilon)$  then  $\text{diam}(M) \geq \pi - \tau(\epsilon)$  where  $\tau(\epsilon) \mapsto 0$  when  $\epsilon \mapsto 0$ .*

Proof

Since  $i(M) > \pi/2$  then there exist  $\delta > 0$  such that  $i(M) > \pi/2 + \delta$ . Let  $\gamma$  be a loop with length  $2\pi - 2\epsilon$ . Let  $x = \gamma(0) = \gamma(2\pi - 2\epsilon)$ ,  $y = \gamma(\pi/2 + \delta)$ ,  $m = \gamma(\pi - \epsilon)$  and  $z = \gamma(\frac{3(\pi-\epsilon)}{2} - \delta)$

Let

$$\gamma_1 = \gamma/[0, \frac{\pi}{2} + \delta], \quad \gamma_2 = \gamma/[\frac{\pi}{2} + \delta, \pi - \epsilon] \quad \gamma_3 = \gamma/[\pi - \epsilon, \frac{3(\pi-\epsilon)}{2} - \delta]$$

and  $\gamma_4 = \gamma/[\frac{3(\pi-\epsilon)}{2} - \delta, 2\pi - 2\epsilon]$ .

Then the geodesics  $\gamma_i$  are minimal. Let  $\sigma$  be a minimal geodesic joining  $m$  and  $x$ .

We claim that  $L(\sigma) \geq \pi - \tau(\epsilon)$ .

Set  $\alpha = \angle(\sigma'(0), -\gamma'(\pi - \epsilon))$  and  $\beta = \angle(\sigma'(0), \gamma'(\pi - \epsilon))$ . Applying the Toponogov's theorem to the triangles  $(\gamma_1, \gamma_2, \sigma)$  and  $(\gamma_3, \gamma_4, \sigma)$  respectively, one can take two triangles  $(\bar{\gamma}_1, \bar{\gamma}_2, \bar{\sigma})$  and  $(\bar{\gamma}_3, \bar{\gamma}_4, \bar{\sigma})$  on the unit sphere  $S^2(1)$  with vertices  $\bar{x}, \bar{y}, \bar{m}$  and  $\bar{x}, \bar{z}, \bar{m}$  respectively satisfying:

$$L(\bar{\gamma}_i) = L(\gamma_i), \quad i = 1, 2, 3, 4; L(\bar{\sigma}) = L(\sigma);$$

hence  $\bar{\alpha} \leq \alpha, \bar{\beta} \leq \beta$  where  $\bar{\alpha}$  and  $\bar{\beta}$  are the angles at  $\bar{m}$  of the triangles  $(\bar{\gamma}_1, \bar{\gamma}_2, \bar{\sigma})$  and  $(\bar{\gamma}_3, \bar{\gamma}_4, \bar{\sigma})$  respectively. We have:  $\alpha \leq \pi/2$  or  $\beta \leq \pi/2$ . Suppose, without lost the generality, that  $\alpha \leq \pi/2$ . Let  $\bar{x}'$  be the antipodal point of  $\bar{x}$  on the sphere  $S^2(1)$  and  $\bar{\sigma}_1$  the minimal geodesic from  $\bar{m}$  to  $\bar{x}'$ . If  $\bar{\alpha}'$  and  $\bar{\beta}'$  are the angles at  $\bar{m}$ , of triangles  $(\bar{y}, \bar{m}, \bar{x}')$  and  $(\bar{z}, \bar{m}, \bar{x}')$  respectively then we have:

$$\begin{aligned} d((\bar{y}, \bar{x}')) &= \pi - d(\bar{y}, \bar{x}) \\ (2) \quad &= \pi - d(x, y) = \frac{\pi}{2} - \delta \end{aligned}$$

Hence, using the trigonometric law on the triangle  $(\bar{y}, \bar{m}, \bar{x}')$  we have:

$$\begin{aligned} \sin d(\bar{m}, \bar{y}) \sin d(\bar{m}, \bar{x}') \cos \bar{\alpha}' &= \cos d(\bar{y}, \bar{x}') - \cos d(\bar{m}, \bar{y}) \cdot \cos d(\bar{m}, \bar{x}') \\ &= \cos(\frac{\pi}{2} - \delta) - \cos(\frac{\pi}{2} - \delta - \epsilon) \cdot \cos d(\bar{m}, \bar{x}') \\ &= \sin(\delta) - \sin(\delta + \epsilon) \cos d(\bar{m}, \bar{x}') \leq 0 \end{aligned}$$

which means that

$$\cos d(\bar{m}, \bar{x}') \geq \frac{\sin(\delta)}{\sin(\delta + \epsilon)}.$$

It follows that

$$L(\sigma) = d(\bar{m}, \bar{x}') \leq \cos^{-1} \left( \frac{\sin(\delta)}{\sin(\delta + \epsilon)} \right) = \tau(\epsilon)$$

with  $\tau(\epsilon) \mapsto 0$  when  $\epsilon \mapsto 0$ .

Hence

$$d(m, x) = d(\bar{m}, \bar{x}) \geq \pi - \tau(\epsilon).$$

**2.3. Lemma.** *Let  $M$  be a complete connected  $n$ -dimensional Riemannian manifold with sectional curvature  $K_M \geq 1$  and  $\text{diam}(M) \geq \pi - \epsilon$ , then for all  $x \in M$  there exists a point  $x'$  such that  $d(x, x') \geq \pi - \Gamma(\epsilon)$  hence  $\text{Rad}(M) \geq \pi - \Gamma(\epsilon)$  with  $\Gamma(\epsilon) \mapsto 0$  when  $\epsilon \mapsto 0$  where*

$$\text{Rad}(M) = \min_{x \in M} \max_{y \in M} d(x, y)$$

Proof

Since  $M$  is compact, its injectivity radius  $i(M)$  is positive and set  $r_0$  a positive number not larger than  $i(M)$ . Let  $p, q$  be two points in  $M$  such that  $d(p, q) = \text{diam}(M) \geq \pi - \epsilon$ . Let  $x \in M$  and suppose  $x \neq p$  and  $x \neq q$ . Consider the triangle  $(p, q, x)$  and let  $y$  be a point of the segment  $[q, x]$  such that  $d(q, y) = \frac{r}{2}$  with  $r < r_0$  and  $d(p, x) > 2r$   $d(q, x) > 2r$ . For any point  $s \in B(y, r)$  the function

$$z \mapsto e_{yz}(s) = d(y, s) + d(z, s) - d(y, z)$$

is continuous and if  $z$  is on the prolongation of the geodesic joining  $y$  to  $s$  we have:  $e_{yz}(s) = 0$  ( this is possible since  $r < r_0$ ).

Hence the function  $z \mapsto e_{yz}(q)$  is continuous on the sphere  $S(y, r)$ , and consequently there exists  $z \in S(y, r)$  such that

$$(3) \quad e_{yz}(q) = d(y, q) + d(z, q) - d(y, z) < \epsilon$$

For any point  $v \in M$  we have:

$$d(p, v) + d(q, v) + d(p, q) \leq 2\pi$$

hence

$$(4) \quad |d(p, v) + d(q, v) - \pi| \leq \epsilon.$$

Let  $\bar{p}'$  be the antipodal point of  $\bar{p}$  on the sphere  $S^2$ .

$$d(\bar{p}, \bar{q}) = d(p, q);$$

$$d(\bar{z}, \bar{p}') = \pi - d(\bar{z}, \bar{p}) = \pi - d(p, z).$$

We have

$$|d(\bar{q}, \bar{y}) - d(\bar{y}, \bar{p}')| = |d(\bar{q}, \bar{y}) - \pi + d(\bar{p}, \bar{y})| < \epsilon.$$

In the same way, we have:

$$|d(\bar{q}, \bar{z}) - \pi + d(\bar{p}, \bar{z})| = |d(q, z) - \pi + d(p, z)| < \epsilon$$

Hence

$$(5) \quad \begin{aligned} d(\bar{y}, \bar{z}) &= d(y, z) + d(q, y) + d(q, z) - \epsilon \geq \pi - \epsilon - d(p, y) + d(q, z) - \epsilon \\ &\geq \pi - d(\bar{p}, \bar{y}) + d(\bar{q}, \bar{z}) - 2\epsilon \geq d(\bar{p}', \bar{y}) + d(\bar{q}, \bar{z}) - 2\epsilon \end{aligned}$$

$$(6) \quad \geq d(\bar{p}', \bar{y}) + d(\bar{p}', \bar{z}) - 3\epsilon$$

Thus  $d(\bar{p}', \bar{y}) > \frac{r}{2} - \frac{3}{2}\epsilon$  and  $d(\bar{p}', \bar{z}) > \frac{r}{2} - \frac{3}{2}\epsilon$ .

Suppose  $d(\bar{p}', \bar{y}) \leq \frac{r}{2} - \frac{3}{2}\epsilon$  then  $d(\bar{p}, \bar{y}) \geq \pi - \frac{r}{2} + \frac{3}{2}\epsilon$  which contradicts (4).

Let

$$\bar{l}'_1 = d(\bar{p}', \bar{y}); \bar{l}'_2 = d(\bar{p}', \bar{z}) \text{ and } \bar{l}'_0 = d(\bar{z}, \bar{y}).$$

Applying the Topogonov's theorem to the triangle  $(\bar{y}, \bar{p}', \bar{z})$ , we have:

$$\sin \bar{l}'_1 \sin \bar{l}'_2 \cos \angle \bar{p}' = \cos \bar{l}'_0 - \cos \bar{l}'_1 \cos \bar{l}'_2$$

From inequality (6) we get:

$$\begin{aligned} \sin \bar{l}'_1 \sin \bar{l}'_2 \cos \angle \bar{p}' &< \cos(\bar{l}'_1 + \bar{l}'_2 - 3\epsilon) - \cos \bar{l}'_1 \cos \bar{l}'_2 \\ &< -\cos \bar{l}'_1 \cos \bar{l}'_2 (1 - \cos 3\epsilon) - \sin \bar{l}'_1 \sin \bar{l}'_2 \cos 3\epsilon - \sin(\bar{l}'_1 + \bar{l}'_2) \sin 3\epsilon \end{aligned}$$

Hence

$$\cos \angle \bar{p}' < -\cos 3\epsilon + (\cot g \bar{l}'_2 + \cot g \bar{l}'_1) \sin 3\epsilon - \cot g \bar{l}'_1 \cdot \cot g \bar{l}'_2 (1 - \cos 3\epsilon).$$

Thus  $\angle \bar{p}' > \pi - \tau_1(\epsilon)$  with

$$\tau_1(\epsilon) = \cos^{-1} \left( \cos 3\epsilon - (\cot g \bar{l}'_2 + \cot g \bar{l}'_1) \sin 3\epsilon + \cot g \bar{l}'_1 \cdot \cot g \bar{l}'_2 (1 - \cos 3\epsilon) \right)$$

and  $\tau_1(\epsilon) \mapsto 0$  as  $\epsilon \mapsto 0$ .

The trigonometric law on the sphere shows that the angle at  $\bar{p}'$  of the triangle  $(\bar{y}, \bar{p}', \bar{z})$  is equal to the angle at  $\bar{p}$  of the triangle  $(\bar{y}, \bar{p}, \bar{z})$  which is not bigger than the angle at  $p$  of the triangle  $(y, p, z)$  in  $M$ .

Hence  $\angle(y, p, z) > \pi - \tau_1(\epsilon)$ .

By applying the relation (4) to  $x$  and  $y$  we have:

$$(7) \quad -\epsilon \leq d(p, x) + d(q, x) - \pi \leq \epsilon,$$

$$(8) \quad -\epsilon \leq d(p, y) + d(q, y) - \pi \leq \epsilon.$$

Since  $y \in [q, r]$ , we conclude from (7) and (8) that  $e_{py}(x) < 2\epsilon$ , which shows that the angle at  $x$  of the triangle  $(p, x, y)$  is close to  $\pi$  and consequently its angle at  $p$  is small. there exists

$\tau_2(\epsilon)$  such that  $\angle p \leq \tau_2(\epsilon)$  where  $\tau_2(\epsilon) \mapsto 0$  as  $\epsilon \mapsto 0$ .

Take  $r$  small enough; then  $d(p, x) < d(p, y)$ ; otherwise  $d(p, x)$  is close to  $\pi$  and we conclude by taking  $x' = p$ .

Let  $\tilde{x} \in [p, y]$  such that  $d(p, \tilde{x}) = d(p, x)$ ; then

$$(9) \quad d(x, \tilde{x}) \leq \pi \angle(y, p, x) = \tau_3(\epsilon).$$

Since

$$d(p, z) + d(z, q) \geq d(p, q) \geq \pi - \epsilon$$

and

$$d(y, z) = r > d(q, y) + d(q, z) - \epsilon$$

we have:

if  $d(p, x) \leq d(q, z) + \epsilon$  then  $r < \frac{2}{3}\epsilon$  and it suffices to take  $x' = q$  and  $\Gamma(\epsilon) \leq \frac{7}{3}\epsilon$ ; if  $d(p, x) > d(q, z) + \epsilon$  then

$$d(p, z) \geq \pi - d(q, z) - \epsilon > \pi - d(p, x)$$

hence there exists a point  $x' \in [p, z]$  such that

$$d(p, x') = \pi - d(p, x).$$

It suffices to show that  $d(x', \tilde{x}) \geq \pi - \tau_4(\epsilon)$ .

Applying the Toponogov theorem to the triangle  $(\tilde{x}, p, x')$ , we get

$$\begin{aligned} \cos d(x', \tilde{x}) &= \cos d(p, x') \cos d(p, \tilde{x}) + \sin d(p, x') \sin d(p, \tilde{x}) \cos \angle p \\ &= -\cos^2 d(p, \tilde{x}) + \sin^2 d(p, \tilde{x}) \cos \angle p \end{aligned}$$

Since  $x' \in [p, z]$  and  $\tilde{x} \in [p, y]$  the angle at  $\bar{p}$  of the triangle  $(\bar{y}, \bar{p}, \bar{z})$  is less or equal to the angle at  $\bar{p}$  of the triangle  $(\bar{\tilde{x}}, \bar{p}, \bar{x}')$  which is not bigger than the angle at  $p$  of triangle  $(\tilde{x}, p, x')$  in  $M$ .

$$\angle(\tilde{x}, p, x') \geq \angle(\bar{\tilde{x}}, \bar{p}, \bar{x}') \geq \angle(\bar{y}, \bar{p}, \bar{z}) \geq \pi - \tau_4(\epsilon).$$

Hence

$$\begin{aligned} \cos d(x', \tilde{x}) &\leq -\cos^2 d(p, \tilde{x}) + \sin^2 d(p, \tilde{x}) \cos(\pi - \tau_4(\epsilon)) \\ &= -\cos(\tau_4(\epsilon)) - \cos^2 d(p, x) (1 - \cos(\tau_4(\epsilon))) \leq -\cos(\tau_4(\epsilon)) \\ &\Rightarrow d(\tilde{x}, x') > \pi - \tau_4(\epsilon). \end{aligned}$$

From the triangle inequality and the inequality (9) we have

$$d(x, x') \geq d(\tilde{x}, x') - d(\tilde{x}, x) \geq \pi - \tau_5$$

Thus, lemma 2.3 follows.

In [Gr] M. Gromov generalized the classic notion of Hausdorff distance between two compact subsets of the same metric space. He considered the set of compact Riemannian manifolds as a subset of the set of all compact metric spaces.

**2.4. Definitions.** 1) Let  $X, Y$  be two metric spaces; a map  $f : X \rightarrow Y$  is said to be an  $\epsilon$ -approximation if the image set  $f(X)$  is  $\epsilon$ -dense in  $Y$  and, for any  $x, y \in X$ ,  $|d(f(x), f(y)) - d(x, y)| < \epsilon$ .

2) The Gromov-Hausdorff distance  $d_{GH}(X, Y)$  between  $X$  and  $Y$  is the infimum of values of  $\epsilon > 0$  such that there exist  $\epsilon$ -approximations  $f : X \rightarrow Y$  and  $g : Y \rightarrow X$ . In [Co1] and [Co2] Colding showed the two equivalent conditions:

- 1)  $Rad(M) \geq \pi - \epsilon$
- 2)  $d_{GH}(M, S^n(1)) \leq \tau_5(\epsilon)$  with  $\tau_5(\epsilon) \mapsto 0$  as  $\epsilon \mapsto 0$ .

Then theorem 1.2 follows from these conditions and the theorem A.

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